

From: 8064986673

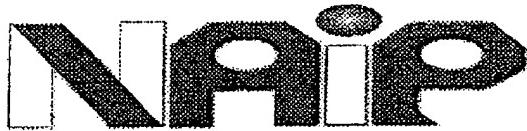
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**North America  
Intellectual Property Corporation**

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**Art Unit: 2652**

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**From : Winston Hsu, Registration No. 41,526**

**Serial No.: 10/605,270**

**Attorney Docket No.: ALIP0034USA**

**Subject: Information Disclosure Statement (IDS)**

**Total Pages: 14 pages (including cover page)**

**Winston Hsu 2005/09/21**

**ALIP0034USA0\_D1\_2**

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PTO/SB/21 (09-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

13

Application Number	10/605,270
Filing Date	09/18/2003
First Named Inventor	Hsi-Yuan Hsu
Art Unit	2652
Examiner Name	YOUNG, WAYNE R

Attorney Docket Number ALIP0034USA

**ENCLOSURES (Check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	09/21/2005	Reg. No.	41,526

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	<i>Cindy Lin</i>		
Typed or printed name	Cindy Lin	Date	09/21/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (12-04)

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*Effective on 12/08/2004.**Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4816).*

## **FEES TRANSMITTAL For FY 2005**

<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	TOTAL AMOUNT OF PAYMENT (\$)
0.00	Attorney Docket No. ALIP0034USA

Complete If Known	
Application Number	10/605,270
Filing Date	09/18/2003
First Named Inventor	Hsi-Yuan Hsu
Examiner Name	YOUNG, WAYNE R
Art Unit	2652
Attorney Docket No.	ALIP0034USA

**METHOD OF PAYMENT (check all that apply)**

<input type="checkbox"/>	Check	<input type="checkbox"/>	Credit Card	<input type="checkbox"/>	Money Order	<input type="checkbox"/>	None	<input type="checkbox"/>	Other (please identify): _____
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<input checked="" type="checkbox"/>	Deposit Account	Deposit Account Number:	50-3105	Deposit Account Name:	North America Intellectual Property Corp.
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For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

<input checked="" type="checkbox"/>	Charge fee(s) indicated below	<input type="checkbox"/>	Charge fee(s) indicated below, except for the filing fee
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<input checked="" type="checkbox"/>	Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17	<input checked="" type="checkbox"/>	Credit any overpayments
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**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEES CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fee Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent

Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent

Multiple dependent claims

Total Claims	Extra Claims		Fee Paid (\$)	Multiple Dependent Claims		Fee Paid (\$)
	Fee (\$)	Fee (\$)		Fee (\$)	Fee (\$)	
- 20 or HP =	x	=				
HP = highest number of total claims paid for, if greater than 20						
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)			
- 3 or HP =	x	=				
HP = highest number of independent claims paid for, if greater than 3						

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x		

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: submission of Information Disclosure Statement 0.00**SUBMITTED BY**

Signature	<i>Winston Hsu</i>	Registration No. (Attorney/Agent)	41,526	Telephone	302-729-1562
Name (Print/Type)	Winston Hsu			Date	09/21/2005

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO		Complete If Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Application Number	10/805,270
		Filing Date	09/18/2003
		First Named Inventor	Hsi-Yuan Hsu
		Art Unit	2652
		Examiner Name	YOUNG, WAYNE R
		Attorney Docket Number	ALIP0034USA

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hsi-Yuan Hsu, Chun-Hsien Lee

5 Appl. No.: 10/605,270 Filing Date: 09/18/2003  
Examiner: YOUNG, WAYEN R Art Unit: 2652  
Docket No.: ALIP0034USA Confirmation No.: 2269

10 Title: SOUND RECORDING APPARATUS FOR RECORDING AUDIO  
SIGNALS USING OPTICAL ACCESS TECHNOLOGY

To: Commissioner for Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450

.15 Subject: Information disclosure statement under 37  
CFR §1.56

20 Dear Sir/Madam,

This is an Information Disclosure Statement in  
accordance with the duty to disclose information  
material to patentability under 37 CFR §1.56. The  
25 applicants wish to make of record the documents listed  
on the accompanying form PTO/SB/08.

Since this IDS is filed before the mailing date  
of the first Office action, consideration of the  
30 information disclosure statement is hereby requested  
according to 37 CFR §1.97(b).

That each item of information contained in the information

disclosure statement was first cited in an Office communication mailed on July 8, 2005 for the counterpart China patent application number 03155763.5, which are no more than three months prior to the filing of the information disclosure  
5 statement.

The cited document (CN 1299135A) provides a portable digital language study device comprising a compact disc controller, a microprocessor, a digital signal processor, and  
10 a liquid crystal display. The language study device utilizes the compact disc to store digital voice frequency sound data and interactive messages. The microprocessor and digital signal processor are utilized to process digital signals and thereby allow long time interactive language study. Advanced  
15 functions such as repeated reading, changing speed or unchanging speed of played sound, practice, are pronunciation correction are provided. Because the compact disc is utilized as the storage medium, both the size requirements of the device and the cost of issuing the medium are effectively reduced.  
20 The device provides good study results and is convenient to use. Because the device is easily carried, it is an innovative replacement device for conventional magnetic based language study devices.

25 According to the requirement set forth in 37 CFR §1.98, a copy of each cited reference and its English language abstract is included to fulfill the concise explanation requirement.

30 It is respectfully requested that the examiner can consider the documents listed on the accompanying form PTO/SB/08 and that it be made of record in the application.

The applicants sincerely hope that the examiner initials the cited references on the form and that a copy of the initialed form be sent to the applicants with the next communication from the examiner.

5

10

Respectfully submitted,

Winston Hsu

Date: September 21, 2005

15 Winston Hsu, Patent Agent No. 41,526

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Voice Mail: 302-729-1562

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20 e-mail : [winstonhsu@naipo.com](mailto:winstonhsu@naipo.com)

Note: Please leave a message in my voice mail if you need to talk to me. The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.

25